

Nebraska's Capital City

January 10, 2002

Mayor Wesely and City Council City of Lincoln City County Building Lincoln, NE

Mayor Wesely and Members of the City Council:

An investigation has been made regarding the application of Bricktop Entertainment Inc., d.b.a. Bricktop, 1427 'O' Street requesting a class C liquor license for this location.

This location was previously known as 1427 Club, which held a liquor license.

Alex Roskelley, president of Bricktop Entertainment requests that he be approved as the manager of this liquor license.

Background information on Alex Roskelley is as follows:

Alex Roskelley was born in Salt Lake City, Utah. He attended Cottonwood High School, Salt Lake City, Utah graduating in 1996.

Alex Roskelley employment history is as follows:

1998 – present

Owner, Jake Cigar

Lincoln, NE.

1996 - 1998

Entertainer, Knuckleheads

Salt Lake City, UT.

If this application is approved, it should be with the understanding that it conforms to all the rules and regulations of Lincoln, Lancaster County and the State of Nebraska.

THOMAS K. CASADY, Chief of Police

Junk Cand





Liquor License Business Report / Completed by Inv Fosler Date: 1/10/02
DBA: BRICKTOP
ADDRESS 1427 O PHONE_
TYPE OF INVESTIGATION:
PURCHASE UPGRADE EXPANSION NEW
OWNER MANAGER OTHER
TYPE OF BUSINESS BRR
CLASS: A B C D I J K CATERING OTHER
OWNERSHIP CORPORATION PARTNERSHIP INDIVIDUAL
PURCHASE PRICEPROPERTY EQUIPMENT VALUE
AMOUNT FINANCED \$ 35,000 SOURCE STEP FATHER
COLLATERALCOSIGNER(S)
LEASE AGREEMENT 54R (a) # 2650 MO
EST INCOME %FOOD / %LIQUOR /UU
COMMERCIAL INDUSTRIAL RESIDENTIAL
TRAFFIC Many PARKING ON - STREET
READY FOR OPERATION: YES NO EST DATE MARCH 2007
FOOD SERVICE N/R # OF EMPLOYEES F/T 4 P/T 2
DOES LICENSE COMPLY WITH LEGAL DISTANCES YES
EST SEATING 155 EST # DAILY CUSTOMERS 40
HOURS OF OPERATION 7 days 4pm - lam
HUMAN RIGHTS COMMISSION CHECKED YES NO N/A
-

Liquor License Investigation
Business (DBA) BRICKTOP
Manager Other
Name: Alex Roskelley
US Citizen? (Yes) No
Has applicant ever been cited for liquor law violations? No Yes Explain
Does applicant have an interest in another liquor license No Yes Explain
Is spouse qualified to hold a license? Yes No N/A
How is applicant if not an owner to be paid? Salary Hourly
How many hours will applicant be at the establishment? 40-50
Any other employment? No Ves, explain Jakes
Any previous experience with a liquor license? Yes
Any criminal convictions ? No Yes Comments
Is applicant a property owner in Lincoln? Yes
Is applicant involved in any civil litigation? No Yes Comments
() Photo () Records Check () References
Comments
Interview Date/

(C-54218) 2/1/02; 2/19/02 1/h

det clave 1-17 PH: 2-4-02



CERTIFIED

January 2, 2002

NEBRASKA LIQUOR CONTROL COMMISSION Forrest D. Chapman

Executive Director

301 Centennial Mall South, 5th Floor P.O. Box 95046

Lincoln, Nebraska 68509-3046 Phone (402) 471-2571

Fax (402) 471-2814

TRS USER 800 833-7352 (TTY)

Mike Johanns Governor

County/City Bldg 555 So. 10th, Ste 103 Lincoln, NE 68508

Joan Ross, City Clerk

Class C Application (Bricktop Entertainment Inc.)

1427 Oxt. Olass C

Dear Local Governing Body:

Attached is the form to be used on all retail liquor license applications. Local clerks must collect proper license fees and occupation tax per ordinance, if any, before delivering the license at time of issuance.

TWO KEY TIME FRAMES TO KEEP IN MIND ARE:

You have 45 days to conduct a hearing after the date of receipt of the notice from this Commission 1) (§53-134). You may choose NOT to make a recommendation of approval or denial to our Commission.

PER §53-133, THE LIQUOR CONTROL COMMISSION SHALL SET FOR HEARING ANY APPLICATION WHEREIN:

- There is a recommendation of denial from the local governing body. 1)
- 2) A citizens protest; or
- 3) Statutory problems that the Commission discovers.

PLEASE NOTE...A LICENSEE MUST BE "PROPERLY" LICENSED IN ORDER TO PURCHASE FROM WHOLESALERS; AND, A LICENSE IS EFFECTIVE:

- 1) Upon payment of the license fees:
- 2) Physical possession of the license:
- 3) Effective date on the license.

Sincerely,

NEBRASKA LIQUOR CONTROL COMMISSION

man

Enclosures

Rhonda R. Flower

Commissioner

Bob Logsdon Chairman

R.L. (Dick) Coyne

Commissioner

An Equal Opportunity Affirmative Action Employer

FORM 35-4001 REV. 12 99

Printed with soy ink on recycled paper

APPLICATION FOR LICENSE

Nebraska Liquor Control Commission PO Box 95046, 301 Centennial Mall South Lincoln, NE 68509-5046

http://www.noi

Phone: (402) 471-2571 Fax: (402) 471-2814

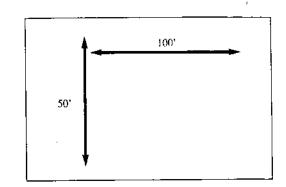
INSTRUCTIONS: Include: 1. Applicable fees payable to Liquor Control Commission 2. Copy of birth certificate or naturalization papers proving U.S. citizenship for each individu... and spouse named on application (not required of corporations or spouse(s) who file an artidavit of no interest with application. Commission form 4178 3. Corporations must include copy of articles of incorporation as filed with the Secretary of States office in the state of Nebraska 4. Commission checklist, form 4251 5. Fingerprint cards and

processing fees (are required of individuals, all partners and spouses. Corporate applicants must file for CEO/Manager & stockholders/member holding over 25% stock/interest. 6. All applications must be typewritten or printed clearly. 7. Submit in Triplicate

CLASS OF LICENSE FOR WHICH APPLICATION IS MADE AND LIST OF FEES FOR EACH								
Class of License		Registration	License	Corporate				
(Check applicable class)		Fee	Fees	Surety Bond				
A Beer, On Sale Only - Inside Corporate Limi	ts	\$45.00	Collected at Local Level	exempt				
F Beer, On Sale Only - Outside Corporate Lir		\$45.00	Collected at Local Level	exempt				
B Beer, Off Sale Only - Indicate Inside or Out		\$45.00	Collected at Local Level	exempt				
ightharpoonup julier ju		\$45,00	Collected at Local Level	exempt				
I Spirits, Wine, Beer, On Sale Only - Inside C		\$45,00	Collected at Local Level	exempt				
D Spirits, Wine, Beer, Off Sale Only - Inside C	Corporate Limits	\$45.00	\$150.00	exempt				
D1 Spirits, Wine. Beer, Off Sale only - within				[
extraterritorial zoning jurisdiction		\$45.00	\$150,00	ı exempt				
X C Spirits, Wine. Beer On & Off Sale - Inside O	Corporate Limits	\$45.00	Collected at Local Level	exempt				
M Bottle Club (Spirits, Wine, Beer, on Sale)		545.00	Collected at Local Level	exempt				
H Nonprofit Corporation S45.00 Collected at Local Level ex								
K Wine Only, Off Sale \$45.00 Collected at Local Level e								
O Boat \$45.00 \$50.00 ex								
v Manufacturer of Beer, Wine & Distilled Spirits \$45.00 Varies \$100 to \$1.000 \$10.000								
<u>X Wholesale Liquor</u> \$45.00 \$500.00 \$5.0								
W Wholesale Beer S45.00 \$250.00 \$ 5.00								
Y Farm Winery		\$45.00	\$250.00	\$ 1.000 min.				
L Craft Brewery (Brew Pub)		\$45.00		5 1.000 min.				
TYPE OF APPLICATION	CORPOR	LATE SURE	Y BOND INFORMATIO	N				
Type of application being applied for (place appropriate number in box) 1= Individual License requires Form 1 to be attached. 2= Partnership License requires Form 2 to be attached. 3= Corporate License requires Form 3 and 4 and Manager Application be attached. Start Date Month/Day/Year Bond Number								
SECTION A - LOCATION IS		- `						
Trade Name (name of business)	7 1		ber at premise to be license					
Encetas	412	707-5	Th 402-202-	8780				
1) Street Address of Proposed licensed premise	2) Mailing Ad	dress for rece	ipt of					
1427 10" ST	Liquor Con	trol Commiss	ion mailings					
1461. U D	1427 11	y" 5						
City County Zip Cod	le City		County Z	ip Code				
Intella languater USEC		i le	Weaster in	_				

DESCRIPTION AND DIAGRAM OF THE STRUCTURE TO BE LICENSED

In the space provided draw the area to be licensed. This should include storage areas, basement, sales areas and areas where consumption or sales of alcohol will take place. If only a portion of the building is to be covered by the license, you must still include dimensions (length x width) of the licensed area as well as the dimensions of the entire building in situations where only a portion of the entire bldg. is to be covered by the license. No blue prints will be accepted. Be sure to indicate the direction North and number of floors of the building.



Example: East portion approximately 50' x 100' of main floor of 3 story building plus basement approximately 30' x 50' at the East end.

main floor apprex 25" × 140"
Plus Bossement 25" × 60"
as well as garage 25" 20.

SECTION B	OTHER INFORM	OTHER INFORMATION REQUIRED							
	<u></u>	Yes	No	Explanation/Comments					
convicted of or plead guilty to any of any charge alleging a felony or misde law; or a violation of a local law, or the charge, where the charge occu- conviction or plea. Also list any	application, or their spouse, ever been criminal charge. Criminal charge means meanor or violation of a federal or state dinance or resolution. List the nature of arred and the year and month of the charges pending at the time of this please list charges by each individual's		X						

Rev. 7/01

Corporation/LLC Application for License - Form 3

Nebraska Liquor Control Commission

INSTRUCTIONS:

- 1) Application and application for manager must be typewritten and submitted in triplicate
- 3) Information regarding spouses must be completed 2) Fingerprint cards (2 cards per person) must be submitted for: a) each stockholder owning over 25% of the stock, b) chief executive officer, c) proposed manager and d) all spouses

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L								
	Number 1~1079	Home Telephone Number	Zip Code 15TV-5075		State		ラ	CINCOLA
	NERRAKA	S				100 NOCH 12th St #404	Josth 1	Home Address (1)
L	Social Security Number	Date of Birth	こづ	PRESIDEN'T	-	C RESKELLEY		Nume ALEX
		FFICER	XECUTIVEO	F THE CHIEF I	IST THE NAME O	IN THIS SECTION LIST THE NAME OF THE CHIEF EXECUTIVE O		
		<i>P</i> S)	Mex Possell	Mex		50	122-75 J	SUPPLY ANALYS
			ed Manager	Name of Proposed Manager		ŧ	d Agent	Name of Registered Agent
	ansas.	いっちないなく	Neba		lancastr		21.20	Lincol-n
	Zip Code		State		unty	County		City
7. S	Corporate Telephone Number		eceipt of nission Mailings	Mailing address for receipt of Liquor Control Commission Mailings	Mai Liq		(1) (1)	Corporate Street Address (1)
		100%	-		!	Airktop enlectrinment inco	o entect	Birth
	s (if corporation)	Total Number of Shares (if corporation)	ı	ā	cles of Incorporation	Name of Corporation That Will Hold License. Attach copy of Articles of Incorporation	on That Will He	Name of Corporati

Corporation/LLC Application for License - Form 3

				Spouse Name
				NAME
				Spouse Name
				NAME
				Spouse Name
				NAME
				Spouse Name
				NAME
				Spouse Name
				NAME ROSKILLEY Alex Ibarles
				Spouse Name NOT NOLENED
	PRESIDENT			NAME ALEX Charles ROCKELLEY-
Number of Shares/ %	Title	Date of Birth	Social Security Number	Name of Officers, Directors, Members and Spouses. Give Last Name, First Name, Middle, Maiden, and any aliases
	USES	MBERS AND SPOUSES	RS, STOCKHOLDERS, MEA	PRINCIPLE OFFICERS, DIRECTORS, STOCKHOLDERS, MEMBERS

(If Necessary, Continue on Separate Sheet)

Corporation/LLC Application for License - Form 3 Nebraska Liquor Control Commission

SECRETARY/MEMBER	In Compliance with ADA, this form is available in other formats for persons with disabilities. A ten day advance period is requested in writing to produce the alternate format
By PRESIDENT/MEMBER	A GENERAL NOTARY-State of Nebraska Linda M. Nelson Nelson My Comm. Exp. March 13, 2002
	STATE OF The June 1) State of The June 1)
	Please indicate below your corporate tax year with the IRS Starting Date: 1-1 Ending Date: 12-3
tock/interest in that corporation/LLC. Any applicant who has a Corporation prorations owning more than 25% stock and listing of the percentage of stock	If YES, LIST EACH STOCKHOLDER/MEMBER OWNING MORE THAN 25% stock/interest in that corporation/LLC. Any applicant who has a Corporation as a shareholder MUST file an organizational chart listing all shareholders and/or corporations owning more than 25% stock and listing of the percentage of stock owned
	Is this Corporation/LLC controlled by another Corporation? ☐ YES ★NO Name of Control Corporation

FORM 35-4183 Page 3 REV 02/01

	Yes	No	Explanation/Comments
2. Are you buying the business and/or assets of a licensee? If yes, submit a copy of the sales agreement with a listing of assets being acquired including liquor inventory (name brand and container size required).	X	ú	Pho-29451 Cinthing Kinks
3. Are you filing a temporary agency agreement, Commission form 4231, whereby current licensee allows you to operate on their license? If yes, attach copy.		X	
4. Are you borrowing any money from any source to establish and/or operate the business? If yes, list the lender.		X	·
5. Will any person or entity other than licensee be entitled to a share of the profits of the establishment? If yes, explain.		义	1.40 Tu = 10
6. Will any of the furniture, fixtures and equipment to be used in this business be owned by others? If yes, list such items and the owner.		X	
7. Will any person(s) other than named in this application have any direct or indirect ownership or control of the business? If yes, explain?		X	
8. Are the premises to be licensed within 150 ft. of a church, school, hospital, home for the aged or indigent persons or for veterans, their wives, children, or within 300 ft. of a college or university campus? If yes, list the name of such institution and where it is located in relation to the premises. Per Sec. §53-177.		X	
9. Is anyone listed on this application a law enforcement officer? If yes, list the person, the law enforcement agency involved and the persons exact duties.		X	
10. List the primary bank and/or financial institution (branch if applicable) to be utilized by the business and the person(s) who will be authorized to write checks and/or make withdrawals on accounts at such institutions.	We	116 F	arge 13th o
11. List all past and present liquor licenses held by any person named in this application. Include license holder name, location of license and license number. Also list reasons for termination of any licenses previously held.	No	NE	· · · · · · · · · · · · · · · · · · ·
12. List the person who will be the on site supervisor of the business and the estimated number of hours per week such person or manager will be on the premises supervising operations.	Ale	x Ros	stellen so ha.
13. List the training and experience of the person listed in #12 above in connection with selling and/or serving alcohol products.	rete	1) 17.2	unership
14. If the property for which this license is sought is owned, submit a copy of the deed, or proof of ownership, if leased submit a copy of the lease covering the entire license year. (Documents must show title or lease held interest in name of applicant as owner or lessee in the individual(s) or corporate name for which the application is being filed).	لادي	=cd	
14. When do you intend to open for business?	311	02	FORM 35-4010

6. List the principal residence for the past 10 years for all persons sheet.	s required to sign app	lication. If neces	sary attach a separate
NAME	FROM (YEAR)	TO (YEAR)	RESIDENCE (CITY. STATE)
ALEX ROKELLEY	98	M- special	LivelloNE
ALEX RISKELLEY	94	95	Diaper UT
ALEX ROSIZELLEY	90	au	Sandy LIT

The undersigned applicant(s) hereby consent(s) to a background investigation and release of present & future records of every kind and description including police records, tax records (State and Federal), bank or lending institution records, and said applicant(s) and spouse(s) waive(s) any right or causes of action that said applicant(s) or spouse(s) may have against the Nebraska Liquor Control Commission, the Nebraska State Patrol, and any other individual disclosing or releasing said information. Any documents or records for the proposed business or for any partner or stockholder that are needed in furtherance of the application investigation or any other investigation shall be supplied immediately upon demand to the Nebraska Liquor Control Commission or the Nebraska State Patrol. The undersigned understand and acknowledge that any license issued, based on the information submitted in this application, is subject to cancellation if the information contained herein is incomplete and/or inaccurate.

Individual applicants agree to supervise in person the management and operation of the business and that they will operate the business authorized by the license for themselves and not as an agent for any other person or entity. Corporate applicants agree the approved manager will superintend in person the management and operation of the business. Partnership applicants agree one partner shall superintend the management and operation of the business. All applicants agree to operate the licensed business within all applicable laws, rules, regulations, and ordinances and to cooperate fully with any authorized agent of the Nebraska Liquor Control Commission.

Must be signed in the presence of a notary public. Must be signed by applicant and spouse: if a partnership, all partners and spouses must sign and corporation, all stockholders/members (holding more than 25% of the stock or interest), officers, directors and spouses must sign. Full names only, initials not acceptable.

sign here sign here sign here	sign here sign here sign here sign here	
Subscribed in my presence and sworn to before me this	3)	day of December 200)
In compliance with ADA, this application for license form is available in other formats for persons with disabilities. A ten day advance period is requested in writing to produce the alternate format.	(SEAL) sign	GENERAL NOTARY-State of Nebraska LINDA M. NELSON My Comm. Exp. March 13, 2002 Notary Public Signature

Application for Corporate Manager

Must Be A Nebraska Resident

Please submit in Triplicate

RECEIVED

Return to:

Nebraska Liquor Control Commission, PO Box 95046

301 Centennial Mall So., Lincoln NE 68509

Phone: (402) 471-2571 Fax: (402) 471-2814 Web address: http://www.nol.org/home/NLCC/

DEC 2 1 200%

	LIQUOR I	ICENSE	INFOR	MATIC)N	CONTRO	ASIGNUM NGCOMM	NOR ISSIDA:
NAME OF LICENSED CORPORATION					CLA	SS & LICE		
Brizetop entertainmen	t the	¢.						
TRADE NAME OF LICENSED PREMISE	.,							
Bricktop								
STREET ADDRESS OF LICENSED PREMISE	CITY			COUNT	ſΥ		2	ZIP CODE
1427 1104 5+	Linu	du		Lar	1000	Mer		18508
On behalf of the corporation, I designate this individ-	ual as corpora	te manager.						
Signature of Corporate President/CEO: APPLICANT INFORMATION (MUST BE 21 OR OVER)								
APPLICAN'	INFOR!	MATIÓ	N (MU	ST BE	21 OF	OVER)	}	
NAME (LAST, FIRST, MIDDLE, MAIDEN)	SEX	SOCIA	L SECUR	RITY NU	MBER	DATE OF	BIRTH	PLACE OF BIRTH
Roskelley Alex Charles	F (M)					 		SIL UTAH
HOME STREET ADDRESS		CITY		C	OUNTY		STATE	ZIP CODE
100 N. 17Th & #404		Lincol	n		anca	ster	NE	67500
HOME TELEPHONE NUMBER	BUSINE	SS TELEPI 7.777	IONE NO	umber 77)		DRIVE	RS LICEN	SE NUMBER & STATE
SPOUSE'S INFORMATION (IF NOT MARRIED INDICATE NONE)								
FULL NAME (LAST, FIRST, MIDDLE, MAIDEN)			SOCIAI	L SECUI	RITY NU	MBER	DRIVER	S LICENSE NUMBER
WALT ILADOUT							& STATI	E
DATE OF BIRTH:			PLACE	OF BIR	TH:		İ	
					_ .			
1. READ CAREFULLY - Answer completely Has anyone who is a party to this application of charge means any charge alleging a felony or mesolution. List the nature of the charge, where charges pending at the time of this application. YES NO	or their spous nisdemeanor the charge o	se, <u>ever</u> be violation occurred an	of a fede nd the ye	ral or st ar and r	tate law; nonth of	or a violati the convic	on of a loc tion or ple	cal law, ordinance or a. Also list any

2. Have you or your spouse ever made application for any liquor license or manager for any liquor license? IF YES, for what premise give license number and date.

 \subseteq YES

Is NO

LIST PRINCIPAL RESIDENCE FO	OR PAST 1	0 YEARS	S, APPL	ICANT AND SPOUSE MUST	Г COMPLETE	
APPLICANT: CITY & STATE	FROM	EAR TO	SPOUSE	CITY & STATE	FROM TO	
Liminal NE	98	01				
Draper UT	94	98				
Sandy VT	90	94				
		<u> </u>				
EMPLOYERS – LIST LAST TWO EMPLOYERS						
YEAR NAME OF EMPLOYER FROM TO				NAME OF SUPERVISOR	TELEPHONE NUMBER	
198 present ACR 100	70.			Gelf complexed	402-425-811	7
96 96 Kniklehrads port from George Wikitio II 9					501 201 759	<u>\$5</u>
PERSONAL OATH AND CONSENT	OF INVES	FIGATIO	$_{I}^{N}$ MU	ST BE SIGNED BY APPLIC	CANT & SPOUSE	1
STATE OF NEBRASKA) OSCILLATION OF SS.						
The above individual(s), being first duly sworn upon oath, depos application, that said application has been read and that the co- application, the applicant(s) shall be deemed guilty of perjury a	ontents thereof	and all stat	ements con	tained therein are true. If any false st	atement is made in any part of	
The undersigned applicant hereby consents to an investigation (State and Federal), and bank or lending institution records, and the Nebraska Liquor Control Commission and any other indivinterest directly or indirectly, an affidavit may be attached ho	d said applican idual disclosin	and spousing or releasing	e waive any ng said info	rights or causes of action that said appropriation to the Nebraska Liquor Cont	oplicant or spouse may have ag	gainst
The undersigned understand and acknowledge that any licens contained herein is incomplete and inaccurate.	e issued, based	d on the inf	ormation s	abmitted in this application, is subject	t to cancellation if the inform	nation
					4.4.	
Signature of Applicant				Signature of Spouse (if	applicable)	

A GENERAL NOTART-SIZE
LINDA M. NELSON
My Comm. Exp. March 13, 2002

Subscribed in my presence and sworn to before me this _____

Notary Signature & Seal

Subscribeding my presence and sworn to before me this

Notary Signature & Seal

ARTICLES OF INCORPORATION BRICKTOP ENTERTAINMENT, INC.

i, the undersigned person, acting as an incorporator under the Nebraska Corporation Act, adopt the following Articles of Incorporation for such Corporation:

Article I:

The name of the corporation is Bricktop Entertainment, Inc.

Article II:

The purpose for which the corporation is organized is to engage in all acts relating to the operation of a bar/nightclub serving food and beverage to the public. The corporation shall further have unlimited power to engage in and do any lawful act concerning any at all lawful business activity for which corporations may be organized under the Nebraska Corporation Act and any amendments thereto.

Article III:
The corporation shall have authority to issue one thousand (1,000) shares of stock, par \$1.00 per share. The stock shall be of one class only. The common stock shall have unlimited voting rights under the Nebraska Business Corporation Act.

The corporation has waived the provision to hold an annual meeting of the shareholders

Article IV:

The address of the corporation's initial registered office shall be:

1427 O Street Lincoln, NE 68508

The corporation's initial registered agent at such address shall be:

Alex C. Roskellev

I hereby acknowledge and accept appointment as corporation registered agent:

Alex C. Roskelley

Article V:

The name and address of the incorporator is:

Alex C. Roskelley 100 No. 12th Street Lincoln, NE 68508

In witness thereof, I have executed these Articles of Incorporation in duplicate on December 22, 2001 and say that I am the incorporator herein, that I know the contents of these Articles, and that they are true to the best of my knowledge and belief.